11:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Jean-Sebastien Coron et al.

Application No.: 10/522,420

Filed: July 26, 2005

For: A DATA ENCIPHERING METHOD AND ASSOCIATED CRYPTOGRAPHIC

SYSTEM AND ASSOCIATED COMPONENT (As Amended)

Group Art Unit: 2131

Examiner:

Confirmation No.: 5656

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows:

Please correct the title to - -A DATA <u>ENCIPHERING</u> METHOD <u>AND ASSOCIATED</u> CRYPTOGRAPHIC SYSTEM AND ASSOCIATED COMPONENT- -

Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

BUCHANAN INGERSOLL PC

Date: March 29, 2006

James A. LaBarre

Registration No. 28,632

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620



APPL NO.

10/522,420

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

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032326-292

CONFIRMATION NO. 5656

21839 **BUCHANAN INGERSOLL PC** (INCLUDING BURNS, DOANE, SWECKER & MATHIS) POST OFFICE BOX 1404 **ALEXANDRIA, VA 22313-1404**

ART UNIT

2131

FILING OR 371

(c) DATE

07/26/2005

FILING RECEIPT OC000000018205049* MAR 2 9 2006

Date Mailed: 03/20/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jean-Sebastien Coron, Paris, FRANCE; Marc Joye, Saint Zacharie, FRANCE; David Naccache, Paris, FRANCE; Pascal Paillier, Paris, FRANCE;

Assignment For Published Patent Application

GEMPLUS, Gemenos, FRANCE

Power of Attorney: The patent practitioners associated with Customer Number 21839.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FR03/02364 07/25/2003

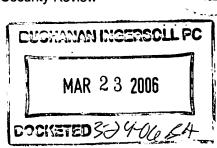
Foreign Applications

FRANCE 02/09475 07/26/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No



MAR 27 2006

Title

enciphering and associated

Data encryption method cryptographic system and associated component

Preliminary Class

380

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

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Applicant/
Inventor: Jean-Sebastien Corpa and I.

Docket No.: 032326-292 Working Atty.: James A. LaBarre / rsc Date: January 26, 2005

Title: A DATA ENCIPHERING METHOD AND ASSOCIATED CRYPTOGRAPHIC SYSTEM AND COMPONENT (As A

Docket No.: 032326-292		Date: January 20, 2005
Title: A DATA ENCIPHERING METHOD	AND ASSOCIATED CRYPTOGRAPHIC SYSTEM A	.ND COMPONENT (As Amended)
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The following was/were re	ceived in the U.S. Patent and Trademark Office o	n the date stamped hereon:
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Transmittal Letter to the U.S. Receiving Office/ Response to Invitation (PTO-1382)	PCT Notice of Confirmation of Precautionary Designations (PCT/RO/144)	Check for \$ is enclosed
Transmittal Letter to U.S. Designated/Elected	Executed Declaration/Power of Attorney	Check for \$ is enclosed
Office (DO/EO/US) Concerning Filing Under	Unexecuted Declaration/Power of Attorney	Charge \$ to Deposit Account
35 USC 371 (PTO-1390) PCT Request (PCT/RO/101) () pgs.	Assignment/Assignment Recordation Form	Charge \$ 1,000.00 to credit card. Form PTO-2038 is attached.
	Cover Sheet (PTO-1595) Appointment of Agent	PCT/ISA/210
INCLUDING:	Preliminary Amendment	
Specification (pages 1 - 10)	Information Disclosure Statement Transmittal	O O I P E
Claims (claims(s) 1 - 10 , 4 pgs.)	✓ Information Disclosure Citation (PTO-1449)	
Drawings (Fig(s). 1,pgs.)	Information Disclosure Statement w/ 0 document(s)	JAN 2 6 2005 W
Abstract of the Disclosure	Patent Application Data Sheet	— (2 0 2005 g)
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PCT Fee Calculation Sheet Annex to Demand for Intl. Preliminary Exam. (PCT/IPEA/401 (Annex))	If submitting documents via Express Mail, provide the Express Ma	uiting Label No. below:
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Applicant/	10/522420 ^{DT07 R}	ec'd PCT/PTO 2 6 JAN 2005
Inventor: Jean-Sebastien Coron et al.	10/922420	Appln. No.:
Docket No.: 032326-292	Working Atty.: James A. LaBarre / rsc	Date: January 26, 2005
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	eceived in the U.S. Patent and Trademark Office o	n the date stamped hereon:
☐ Transmittal Letter to the U.S. Receiving Office/	PCT Notice of Confirmation of Precautionary	Check for \$ is enclosed
Response to Invitation (PTO-1382)	Designations (PCT/RO/144) Executed Declaration/Power of Attorney	Check for \$ is enclosed
Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing Under	Unexecuted Declaration/Power of Attorney	Charge \$ to Deposit Account
35 USC 371 (PTO-1390)	Assignment/Assignment Recordation Form	Charge \$ 1,000.00 to credit card. Form
PCT Request (PCT/RO/101) () pgs.	Cover Sheet (PTO-1595)	PTO-2038 is attached.
INCLUDING:	Appointment of Agent	PCT/ISA/210
Specification (pages 1 - 10)	➤ Preliminary Amendment	
	Information Disclosure Statement Transmittal	
Drawings (Fig(s). 1 - pgs.)	■ Information Disclosure Citation (PTO-1449)	_
Abstract of the Disclosure	Information Disclosure Statement w/ 0 document(s)	
PCT Fee Calculation Sheet Annex to the Request	Patent Application Data Sheet	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Pa	atent Application of)	Group Art Unit: Unassigned
Jean-Sebastien CORON et al.)	Examiner: Unassigned
Applica	tion No.: Unassigned)	Confirmation No.: Unassigned
Filed:	January 26, 2005)	
	A DATA ENCIPHERING METHOD AND ASSOCIATED CRYPTOGRAPHIC SYSTEM AND COMPONENT (As Amended))))	

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination, kindly amend the above-captioned application as follows:

AMENDMENTS TO THE SPECIFICATION:

Please replace the title with the following amended title:

"A DATA ENCRYPTION ENCIPHERING METHOD[[,]] AND ASSOCIATED CRYPTOGRAPHIC SYSTEM AND ASSOCIATED COMPONENT"

Please add the following new paragraph immediately after the title appearing on page 1.

This disclosure is based upon French Application No. 02/09475, filed July 26, 2002, and International Application No. PCT/FR2003/002364, filed July 25, 2003, the contents of which are incorporated herein by reference.

Please add on a new line the following at page 1, line 6:

BACKGROUND OF THE INVENTION

Please add on a new line the following at page 6, line 19:

DESCRIPTION OF THE INVENTION

Attorney's Docket No. <u>032326-292</u> Application No. <u>Unassigned</u> Page 3

IN THE ABSTRACT:

Please add the following Abstract:

ABSTRACT

An encryption method in which a clear message (m) is formatted with a formatting function (μ), and in which the result of the formatting step is exponentiated using a public key (N, e) in accordance with the relationship $c = \mu(m)^e \mod N$, c being an encrypted message, $\mu(m)$ being the result of the formatting step, and e and N elements of the public key. The formatting function (μ) is the PSS function. The invention is applicable to cryptography, for example of RSA type, for smart cards for instance.

AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings, of claims in the application:

LISTING OF CLAIMS:

1. (Currently Amended) An enciphering method comprising a step of formatting a clear message in clear (m) by means of a formatting function (μ), and a step of exponentiation of the result of the previous step using a public key (N, e) in accordance with the equation $c = \mu$ (m)^e mod N, c being an enciphered message, μ (m) being the result of the formatting step, and e and N elements of the public key,

the method being characterised in that wherein the formatting function (µ) is the PSS function.

2. (Currently Amended) A method according to claim 1, characterised in that wherein the formatting function μ is defined by

$$\mu(m) = PSS(m) = \omega || s$$
, with:

m, the <u>clear</u> text in <u>clear</u> of k - k0 - k1 bits, r a random parameter of k0 bits, k, k0, k1 being parameters of the formatting function,

ll a concatenation function

$$\omega = H(m || r)$$

Attorney's Docket No. <u>032326-292</u>
Application No. <u>Unassigned</u>
Page 6

 $s = G(\omega) \oplus (m \mid \mid r)$

⊗ a logic function XOR, and

H, G two hashing functions

- 3. (Currently Amended) Use of A method of enciphering a message using a probabilistic signature function (PSS) defined according to the standard PKCS #2 v 2.1, RSA cryptography standard as a formatting function (μ), in order to effect an enciphering method comprising a step of formatting a <u>clear</u> message in clear (m) by means of the formatting function (μ), and a step of exponentiation of the result of the previous step by means of a public key (N, e) in accordance with the equation $c = \mu(m)^e \mod N$, c being an enciphered message, $\mu(m)$ being the result of the formatting step, and E and N elements of the public key.
 - 4. (Currently Amended) A cryptographic system method comprising:
- a step of formatting a <u>clear</u> message in <u>clear</u> (m) by the probabilistic signature function (PSS), and then:
- if an enciphering of the <u>clear</u> message in clear (m) is required, a step of exponentiation of the result of the formatting step by means of a first key (N, e) in accordance with the equation $c=\mu(m)^e$ mod N, c being an enciphered message, $\mu(m)$ being the result of the formatting step, and e and N elements of the first key, or
- if a signature of the <u>clear</u> message in <u>clear</u> (m) is required, a step of exponentiation of the result of the formatting step by means of a second key (N' d') in accordance with the

Attori. y's Docket No. <u>032326-292</u> Application No. <u>Unassigned</u>

equation $s = \mu$ (m)^{d'} mod N', s being a signed message, μ (m) being the result of the formatting step, and d' and N' elements of the second key.

- 5. (Currently Amended) A system method according to claim [[3]] 4, in which the first key and the second key are respectively a public key of a first pair of keys and a private key of a second pair of keys.
- 6. (Currently Amended) A system method according to claim [[4]] 5, in which the first pair of keys and the second pair of keys are identical.
- 7. (Currently Amended) A system method according to one of claims 4 to 6, claim 4, in which the enciphering is of the RSA type.
- 8. (Currently Amended) An electronic component comprising <u>a</u> programmed <u>means</u>

 <u>processor</u> for implementing an enciphering method according to one of claims 1 to 2 <u>claim 1</u>,

 the programmed <u>means processor</u> comprising <u>in particular</u> a central unit and a program

 memory.
- 9. (Currently Amended) An electronic component comprising <u>a</u> programmed <u>means</u> <u>processor</u> for implementing a cryptographic <u>system method</u> according to <u>one of claims 4 to 7</u> <u>claim 4</u>, the programmed <u>means processor</u> comprising <u>in particular</u> a central unit and a program memory.
- 10. (Currently Amended) A chip card comprising an electronic component according to elaim 7 or claim 8.
 - 11. (New) A chip card comprising an electronic component according to claim 9.

REMARKS

By way of the foregoing amendments, the specification has been amended to incorporate the priority information and the title headings. The title has been amended to conform to the English language translation. The Abstract has been added to conform the application to the corresponding PCT Application No. PCT/FR2003/002364. Claims 1-10 have been amended to delete multiple dependencies, and to otherwise conform with conventional U.S. format. New claim 11 has been added. No new matter has been introduced by these changes.

It is requested that the application be examined on the basis of the specification, the title, the Abstract, and the claims as amended.

Early and favorable consideration with respect to this application is respectfully requested.

Should any questions arise in connection with this application, the undersigned respectfully requests that he be contacted at the number indicated below.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: <u>January 26, 2005</u>

James A. LaBarre

Registration No. 28,632

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620